



Summer Semester 2018

Governance & 'Politik'

(35540/35541 – 3 SWS)

1. Objectives

The main aim of this course is to make students familiar with the existing concepts and conceptions of governance and to enable them to independently apply these concepts and conceptions for the analysis of the polity and politics of (nation) states, bureaucracies, and international organizations. Moreover, the course introduces students to selected core concepts, conceptions, and frameworks in political science.

2. Intended Learning Outcomes

At the end of this course students should

- be familiar with selected core concepts, conceptions and frameworks in political science,
- be familiar with the existing concepts and conceptions of governance,
- be able to apply the above concepts, conceptions, and frameworks in order to independently analyse the polity and politics of (nation) states, bureaucracies, and international organizations,
- have some basic understanding how collective choice (and game) theory can be utilized in order to analyse governance structures.

3. Pre-requisites

None.

4. Teaching and Learning Strategies

This course is intended to be an interactive lecture and tutorial course formally comprising 11 lectures (90 minutes) and 8 tutorials (60 minutes). At the end of the course an additional 'Question & Answer' session will be offered on

Wednesday 04 July 2018, 13:30-14:00 (Room RW H 23).

Lecture slides and the tutorials' material will be made available on the e-learning server.

5. Schedule

During the semester the lectures (L) and tutorials (T) will take place as follows:

<i>Lecture/Tutorial</i>	<i>Day</i>	<i>Date</i>	<i>Time</i>	<i>Room</i>
01 (L)	Tuesday	10 Apr	16:15 – 17:45	RW S 40
01 (T)	Wednesday	11 Apr	11:00 – 12:00	INF S 110
02 (L)	Tuesday	17 Apr	16:15 – 17:45	RW S 40
02 (T)	Wednesday	18 Apr	11:00 – 12:00	INF S 110
03 (L)	Tuesday	24 Apr	16:15 – 17:45	RW S 40
03 (T)	Wednesday	25 Apr	11:00 – 12:00	INF S 110
04 (L)	Tuesday	08 May	16:15 – 17:45	RW S 40
04 (T)	Wednesday	09 May	11:00 – 12:00	INF S 110
05 (L)	Tuesday	15 May	16:15 – 17:45	RW S 40
05 (T)	Wednesday	16 May	11:00 – 12:00	INF S 110
06 (T)	Wednesday	23 May	11:00 – 12:00	INF S 110
06 (L)	Tuesday	05 Jun	16:15 – 17:45	RW S 40
07 (T)	Wednesday	06 Jun	11:00 – 12:00	INF S 110
07 (L)	Tuesday	12 Jun	16:15 – 17:45	RW S 40
08 (T)	Wednesday	13 Jun	11:00 – 12:00	INF S 110
08 (L)	Tuesday	19 Jun	16:15 – 17:45	RW S 40
09 (L Part 1)	Wednesday	20 Jun	11:00 – 12:00	INF S 110
10 (L)	Tuesday	03 Jul	16:15 – 17:45	RW S 40
09 (L Part 2)	Wednesday	04 Jul	11:00 – 12:00	INF S 110
11 (L)	Wednesday	04 Jul	12:00 – 13:30	RW H 23

6. Assessment

The course is assessed by a one-hour unseen written examination at the end of the term. In the exam students can achieve a maximum of 100 marks. (If the number of participating students is less than five, an oral examination may replace the written one. Students will be informed about the form of examination as soon as possible.) The date of the examination can be found on <http://www.bwl5.uni-bayreuth.de/de/studiendekan/klausurtermine/>. Students have to register for the examination via CAMPUSonline. Only if students cannot register via CAMPUSonline they can register via e-mail by sending an e-mail to Uriel Braham: uriel.braham@googlemail.com. This e-mail must only contain the title of the course, the student's surname, first name, ID number, and degree of study as well as a brief indication why registration via e-mail is required. The registration deadline is one week in advance to the date of the examination. Later registrations will not be accepted.

Moreover, students have the opportunity to earn up to 10 bonus marks (which will be added to the marks achieved in the written examination), if they agree to deliver an about 15 minutes presentation on a selected topic and to produce a corresponding handout (about 2-3 pages). The available topics will be announced during the first lecture (and will also be available on the e-learning server). Students are expected to submit a draft version of their handout (and presentation if available) two days in advance of their presentation and a final version one week after their presentation. The decision on the allocation of the topics to students will take place during the second lecture.

7. Lecture Programme

Part I: Core Concepts

1. Concepts vs. Conceptions

[7]: 3

2. 'Politik'

[13]: 4-6; [29]: 25-28

3. Institutions and Organizations

[1]: 164, 171, 177 f.; [8]: 7-10; [11]: 22 f.; [14]: 78-81; [16]: 33; [20]: 62; [22]: 5 f.; [31]: 4-7

4. Power, Authority, and Influence

[4]: 11-16; [6]; [10]; [19]: 143-153, [20]: 66; [21]: 485-493; [22]: 518, [23], [27], [41]: xiv-xvii (optional reading), 15-17 (optional reading), 29-35 (optional reading), [45]: 28

5. Governance

[2]; [3]: 1-15; [8]: 1-15; [9]; [12]; [36] (optional reading); [37]: 53-61 (optional reading); [38] (optional reading); [39]: 5f. (Optional reading); [40]: 1-3 (optional reading); [44]: 60-62 (optional reading), 65-67 (optional reading)

Part II: Conceptions and Frameworks

6. Institutional Rational Choice

[11]: 21-64, [35] (optional reading), [43] (optional reading)

7. Organizational Governance

[3]: 16-36; [21]: 502 f.

8. Governance of States

[5]: 143-149; [24]: 19-23

8.1 Democracy

[7]: 83-98; [24]: 35, 40

8.2 Authoritarian Rule

[5]: 349-411; [7]: 99-117; [24]: 54

8.3 Measures of Democracy and the Authoritarian Rule

[5]: 149-167

9. Governance and Society: Linking State and Society

9.1 Basic Paradigms: Liberal-Pluralism, Marxism-Leninism, and Corporatism

[8]: 22 f.; [15]: 1-26, 152-176, [34]: 359-387 (optional reading)

9.2 Problems with Group Decision-making

[5]: 413-424; [25]: 134-138; [26]: 121-137

9.3 Elections

[5]: 535-602; [7]: 179-201; [24]: 245-254; [42]: 324-337

- 9.4 General Collective Choice Problems
 - [5]: 424-427, 439-447; [25]: 138-147; [30]: 115-173, [32]: 205-231
- 10. Governance and Government
 - 10.1 Constitutions and Law
 - [7]: 247-270; [24]: 71-90
 - 10.2 Multilevel Governance
 - [7]: 271-293; [18]
 - 10.3 Legislatures
 - [7]: 295-317
 - 10.4 The Political Executive
 - [5]: 457-533; [7]: 319-344
 - 10.5 Public Governance
 - [3]: 57-79; [8]: 19-58; [24]: 152-168
- 11. Global Governance (self study)
 - [3]: 80-100; [8]: 59-98; [17]; [28]: 75-93; [33]

Part III: Case Studies

Compulsory Case Studies

- 12. Democracy & Populism
 - 12.1 Liberalism vs. Populism: Two Approaches To Democracy
 - [46]: 1-12; [71]: 4-14, 233-252
 - 12.2 What is Populism
 - [65]: 1-20; [66]: 7-74 (optional reading)
 - 12.3 Populism and Democracy
 - [65]: 79-96; [66]: 7-74 (optional reading)
 - 12.4 Causes and Responses to Populism
 - [65]: 97-118; [66]: 75-103 (optional reading)
 - 12.5 The Crisis of Liberal Democracy
 - [64]: 23-132
 - 12.6 Origins of the Crisis of Liberal Democracy
 - [64]: 133-182
 - 12.7 Remedies Against the Crisis of Liberal Democracy
 - [64]: 183-268
 - 12.8 Against Elections – The Case for Democracy?
 - [70]: 1-57, 138-150

- 12.9 Ignorant, Irrational, Misinformed Nationalists?
[50]: 1-17, 23-53
- 12.10 Political Participation Corrupts?
[50]: 54-73
- 12.11 Empowerment of Whom?
[50]: 74-111
- 13. Consociational Democracy
[59]
 - 13.1 Plural Societies and Democratic Regimes
[60]: 1-24
 - 13.2 Consociational Democracy
[60]: 25-52
 - 13.3 Favorable Conditions for Consociational Democracy
[60]: 53-103
 - 13.4 Consociational Elements in Nonconsociational Democracies
[60]: 104-141
 - 13.5 Consociational Engineering
[60]: 223-238
 - 13.6 Non-Majoritarian Democracy: A Comparison of Federal and Consociational Theories
[61]
 - 13.7 Consociational Democracy Criticized
[49]
 - 13.8 Consociational Democracy Revisited
[47]
 - 13.9 Consociational Democracy: The Case of the Lebanese Republic
[55] (optional reading); [57]; [73] (optional reading); [74]

Optional Case Studies

- 14. Governance of the Polity and the Politics of the Russian Federation
[7]: 174-175
 - 14.1 Russia, Russian Peoples and Russian Federalism
[51], [52]: 82-105, 172-205; [62]; [76]
 - 14.2 The Executive Branch
[48] (optional reading), [52]: 109-145; [75]: 91-115; [78]
 - 14.3 The Legislative Branch
[48] (optional reading); [52]: 146-171; [75]: 116-133

- 14.4 The Judicial Branch
[48] (optional reading); [52]: 206-232; [54]; [68]; [75]: 134-152
- 14.5 Civil Society & Mass Media
[52]: 263-314; [56]; [63]; [67]; [72]; [75]: 191-214
- 14.6 Political Parties & Presidential and Parliamentary Elections
[52]: 315-341; [53]; [58]; [69]; [75]: 153-190; [77]

Note: The numbers in brackets refer to numbers of the sources below.

8. Literature

8.1 Core Reading

- [1] Bates, FL and Harvey, CC (1975) *The Structure of Social Systems*, Gardner Press.
- [2] Benz, A and Dose, N (2009) Governance – Modebegriff oder nützliches sozialwissenschaftliches Konzept?, in: Benz, A and Dose, N (eds), *Governance – Regieren in komplexen Regelsystemen*, 2nd edn., VS Verlag: 13-36.
- [3] Bevir, M (2012) *Governance: A Very Short Introduction*, Oxford University Press.
- [4] Braham, M (2008) Social Power and Social Causation: Towards a Formal Synthesis, in: Braham, M and Steffen, F (eds), *Power, Freedom, and Voting*, Springer: 1-21.
- [5] Clark, WR, Golder, M, and Golder SN (2013) *Principles of Comparative Politics*, 2nd edn., Sage.
- [6] Dowding, K (2011) Authority, in: Dowding, K (ed.), *Encyclopedia of Power*, Sage: 36-39.
- [7] Hague, R and Harrop, M (2010) *Comparative Government and Politics: An Introduction*, 8th edn., Palgrave Macmillan.
- [8] Kjaer, AM (2004) *Governance*, Polity Press.
- [9] Levi-Faur, D (2012) From “Big Government” to “Big Governance”?, in: Levi-Faur, D (ed.), *Oxford Handbook of Governance*, Oxford University Press: 3-18.
- [10] Morriss, P (2011) Ability, in: Dowding, K (ed.), *Encyclopedia of Power*, Sage: 1 f.
- [11] Ostrom, E (2007) Institutional Rational Choice, in: Sabatier, PA (ed.), *Theories of the Policy Process*, 2nd edn., Westview Press: 21-64.
- [12] Peters, BG (2012) Governance as Political Theory, in: Levi-Faur, D (ed.), *Oxford Handbook of Governance*, Oxford University Press: 19-32.
- [13] Schubert, K and Bandelow, N (2009) *Lehrbuch der Politikfeldanalyse 2.0*, 2nd edn., Oldenbourg
- [14] Voigt, S (2009) *Institutionenökonomik*, 2nd edn., UTB.
- [15] Wiarda, HJ (1997) *Corporatism and Comparative Politics: The Other Great “Ism”*, M.E. Sharpe.

8.2 Required Supplementary Reading

In addition to the core reading students should read (selected parts of) the following sources:

- [16] Arrow, KJ (1974) *The Limits of Organization*, Norton.
- [17] Behrens, M (2009) Global Governance, in: Benz, A and Dose, N (eds), *Governance – Regieren in komplexen Regelsystemen*, 2nd edn., VS Verlag: 93-110.

- [18] Benz, A (2009) Multilevel Governance – Governance in Mehrebenensystemen, in: Benz, A and Dose, N (eds), *Governance – Regieren in komplexen Regelsystemen*, 2nd edn., VS Verlag: 111-135.
- [19] Braham, M and Holler, MJ (2005) The Impossibility of a Preference-based Power Index, *Journal of Theoretical Politics* 17: 137-157.
- [20] Brink, R van den and Steffen, F (2008) Positional Power in Hierarchies, in: Braham, M and Steffen, F (eds), *Power, Freedom, and Voting*, Springer: 57-81.
- [21] French, R et al. (2011) *Organizational Behaviour*, 2nd edn., Wiley.
- [22] Martin, J (1998) *Organizational Behaviour*, International Thomson Business Press.
- [23] Morriss, P (2011) Ableness, in: Dowding, K (ed.), *Encyclopedia of Power*, Sage: 2.
- [24] Newton, K and Deth JW van den (2010) *Foundations of Comparative Politics*, Cambridge University Press.
- [25] Nurmi, H (1998) *Rational Behaviour and the Design of Institutions*, Edward Elgar.
- [26] Nurmi, H (2006) *Models of Political Economy*, Routledge.
- [27] Pansardi, P (2011) Power To and Power Over, in: Dowding, K (ed.), *Encyclopedia of Power*, Sage: 521-524.
- [28] Pierre, J and Peters BG (2000) *Governance, Politics and the State*, Palgrave Macmillan.
- [29] Prittwitz, V von (2007) *Vergleichende Politikanalyse*, Lucius & Lucius UTB.
- [30] Riker, WH (1982) *Liberalism Against Populism*, Freeman.
- [31] Senior, B (2002) *Organisational Change*, Prentice Hall.
- [32] Taylor, AD and Pacelli, AM (2008), *Mathematics and Politics*, 2nd ed., Springer.
- [33] Zürn, M (2012) Global Governance as Multi-Level Governance, in: Levi-Faur, D (ed.), *Oxford Handbook of Governance*, Oxford University Press: 730-744.

8.3 Optional Supplementary Reading

In addition to the core and required supplementary reading students might find it helpful and/or informative to read (selective parts of) the following source:

- [34] Gallagher, M et al. (1995) *Representative Government in Modern Europe*, McGraw Hill.
- [35] Imperial, MT (1999) Institutional Analysis and Ecosystem-Based Management: The Institutional Analysis and Development Framework, *Environmental Management* 24: 449-465.
- [36] Jordan, A, Wurzel, RK, and Zito, A (2005) The Rise of 'New' Policy Instruments in Comparative Perspective: Has Governance Eclipsed Government?, *Political Studies* 53: 477-496.
- [37] Johnson, P and Gill J (1993) *Management Control and Organizational Behaviour*, Paul Chapman Publishing.
- [38] Kersbergen, K. van and Waarden, F. van (2004) 'Governance' as a Bridge Between Disciplines: Cross-disciplinary Inspiration Regarding Shifts in Governance and Problems of Governability, Accountability and Legitimacy, *European Journal of Political Research* 43: 143-171.
- [39] Kumar, S (2010) *Corporate Governance*, Oxford University Press.
- [40] Lattemann, C (2010) *Corporate Governance im globalisiertem Informationszeitalter*, Oldenbourg.
- [41] Morriss, P (1987/2002) *Power: A Philosophical Analysis*, 2nd edn., Manchester University Press.
- [42] Orvis, S and Drogus, CA (2015), *Introducing Comparative Politics: Concepts and Cases in Context*, SAGE / CQ Press.

- [43] Polski, MM and Ostrom, E (1999) An Institutional Framework for Policy Analysis and Design, Indiana University, *Mimeo*.
- [44] Tricker, B (2012) *Corporate Governance*, 2nd edn., Oxford University Press.
- [45] Weber, M (1972) *Wirtschaft und Gesellschaft*, Tübingen.

8.4 Case Study Reading

- [46] Achen, CH and Bartels, LM (2016), *Democracy for Realists: Why Elections Do Not Produce Responsive Government*, Princeton University Press.
- [47] Andeweg, RB (2000) Consociational Democracy, *Annual Review of Political Science* 3: 509-536.
- [48] Auzan, A (2018), The Future of Russia's Institutions, in: Studin, I. (ed.), *Russia: Strategy, Policy and Administration*, Palgrave Macmillan: 43-56.
- [49] Barry, B (1975) Review Article: Political Accommodation and Consociational Democracy, *British Journal of Political Science* 5: 477-505.
- [50] Brennan, J (2016) *Against Democracy*, Princeton University Press.
- [51] Busygina, I (2018), Russian Federalism, in: Studin, I. (ed.), *Russia: Strategy, Policy and Administration*, Palgrave Macmillan: 57-64.
- [52] Danks, C (2009) *Politics Russia*, Pearson.
- [53] Hale, HE (2014) Russia's Political Parties and Their Substitutes, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 77-96.
- [54] Hendley, K (2014) Assessing the Rule of Law in Russia, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 145-156.
- [55] Hess, CG et al. (1954) Confessionalism and Feudality in Lebanese Politics, *Middle East Journal* 8: 10-26.
- [56] Kiriya, I (2018), Russian Media, in: Studin, I. (ed.), *Russia: Strategy, Policy and Administration*, Palgrave Macmillan: 97-104.
- [57] Koch, C (2012) The Separation of Powers in a Fragmented State: The Case of Lebanon, in: Grote, R and Röder, TJ (eds), *Constitutionalism in Islamic Countries: Between Upheaval and Continuity*, Oxford University Press: 387-402.
- [58] Kynev, A (2018), Political Parties and Parliament, in: Studin, I. (ed.), *Russia: Strategy, Policy and Administration*, Palgrave Macmillan: 87-96.
- [59] Lijphart, A (1969) Consociational Democracy, *World Politics* 21: 207-225.
- [60] Lijphart, A (1977) *Democracy in Plural Societies: A Comparative Exploration*, Yale University Press.
- [61] Lijphart, A (1985) Non-Majoritarian Democracy: A Comparison of Federal and Consociational Theories, *The Journal of Federalism* 15: 3-15.
- [62] Melville, A. (2018), Russian Political Ideology, in: Studin, I. (ed.), *Russia: Strategy, Policy and Administration*, Palgrave Macmillan: 31-42.
- [63] Mesianova, I (2018), Russian Civil Society, in: Studin, I. (ed.), *Russia: Strategy, Policy and Administration*, Palgrave Macmillan: 113-120.
- [64] Mounk, Y (2018) *The People vs. Democracy: Why Our Freedom Is in Danger and How to Save It*, The Belknap Press.
- [65] Mudde, C and Kaltwasser, CR (2017) *Populism: A Very Short Introduction*, Oxford University Press.
- [66] Müller, W (2016) *What Is Populism?*, University of Pennsylvania Press.
- [67] Oates, S (2014) Russia's Media and Political Communication in the Digital Age, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 130-144.
- [68] Pashin, S (2018), The Judicial System, in: Studin, I. (ed.), *Russia: Strategy, Policy and Administration*, Palgrave Macmillan: 353-366.

- [69] Remington, TF (2014) Parliamentary Politics in Russia, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 42-59.
- [70] Reybrouck, D v (2016) *Against Elections - The Case for Democracy*, Bodley Head.
- [71] Riker, WH (1982) *Liberalism Against Populism: A Confrontation Between the Theory of Democracy and the Theory of Social Choice*, Waveland Press.
- [72] Robertson, GB (2014) Civil Society and Contentious Politics in Russia, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 115-129.
- [73] Salamey, I (2014) *The Government and Politics of Lebanon*, Routledge.
- [74] Salamey I and Payne, R (2008) Parliamentary Consociationalism in Lebanon: Equal Citizenry vs. Quotated Confessionalism, *The Journal of Legislative Studies* 14: 451-473.
- [75] Shiraev, E (2010) *Russian Government and Politics*, 2nd edn., Palgrave Macmillan.
- [76] Slider, D (2014) A Federal State?, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 157-172.
- [77] White, S (2014) The Electoral Process, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 60-75.
- [78] Willerton, JP (2014) The Hegemonic Executive, in: White, S et al. (eds), *Developments in Russian Politics* 8, Palgrave Macmillan: 19-41.

Note: All sources for the course will be made available on the e-learning server.

9. Accreditation

- Economics (MA):
Modulbereich Spezialisierung „Governance“: Governance, Wettbewerb & gesellschaftliche Entwicklung
Modulbereich: Individueller Schwerpunkt
- IWG:
Modulbereich c (Vertiefung): Spezialisierung „Governance & Public Management“
- P&E (MA):
Modul Area: Specialization

10. Responsible Student Assistant for the Course

All requests regarding the course should, in the first instance, be addressed to:

- Uriel Braham:
E-mail: uriel.braham@gmail.com

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